

PTO-1449 (Modified)

U.S. Department of Commerce
Patent and Trademark OfficeAtty. Docket No.
30203/37167ASerial No.
10/085,439

INFORMATION DISCLOSURE STATEMENT

Applicant
Evren Eryurek et al.

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Filing Date
Feb. 28, 2002Group
2182

DEC 17 2002

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U.S. PATENT DOCUMENTS

*Examiner Initials	Patent Number	Issue Date	Name	Class	Subclass	Filing Date if Appropriate
EF	5,680,409	10/21/97	Qin et al.	371	48	
EF	5,960,214	09/28/99	Sharpe et al.	395	835	
EF	6,017,143	01/25/00	Eryurek et al.	364	148.06	
EF	6,298,454	10/02/01	Schleiss et al.	714	37	
EF	6,445,963 B1	09/03/02	Blevins et al.	700	44	

FOREIGN PATENT DOCUMENTS

*Examiner Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
EF	EP 0 612 039 A3	24.08.94	EP				
EF	EP 0 965 897 A1	22.12.99	EP				
EF	GB 2 347 234 A	30.08.00	GB				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EF	U.S. Patent Application Serial No. 09/256,585 entitled "Diagnostic in a Process Control System," filed on February 22, 1999.
EF	U.S. Patent Application Serial No. 09/499,445 entitled "Diagnostic Expert in a Process Control System," filed on February 7, 2000.
EF	U.S. Patent Application Serial No. 09/303,869, filed on May 3, 1999.
EF	U.S. Patent Application Serial No. 09/257,896, filed on February 25, 1999.
EF	U.S. Patent Application Serial No. 09/593,327 entitled "Integrated Optimal Model Predictive Control in a Process Control System," filed June 14, 2000.
EF	International Search Report of PCT/US02/05874, issued November 13, 2002.

Examiner

Date Considered

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For PTO Form 1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 30203/37167A	Serial No. 10/085,439
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT		Applicant Eryurek et al.	
		Filing Date Feb. 28, 2002	Group 2182

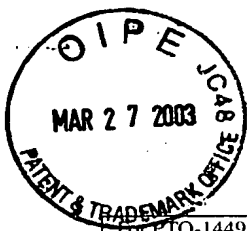
U.S. PATENT DOCUMENTS							
*Examiner Initials		Patent Number	Issue Date	Name	Class	Subclass	Filing Date if Appropriate

FOREIGN PATENT DOCUMENTS							
*Examiner Initials		Document Number	Publication Date	Country	Class	Subclass	Translation
							Yes No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
<i>EF</i>	C1	U.S. Patent Application Serial No. 09/707,580 entitled "Integrated Alarm Display in a Process Control Network," filed on November 7, 2000.

Examiner	<i>EF</i>	Date Considered 10/16/03
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Sheet 1 of 1

For PTO-1449 (Modified) SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 30203/37167A	Serial No. 10/085,439
		Applicant Eryurek <i>et al.</i>	
	Filing Date Feb. 28, 2002	Group 2182	

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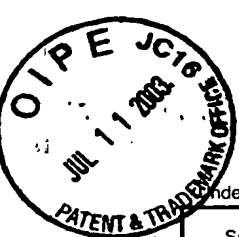
U.S. PATENT DOCUMENTS							
*Examiner Initials		Patent Number	Issue Date	Name	Class	Subclass	Filing Date if Appropriate
<i>ELF</i>	A1	5,905,989	05/18/1999	Biggs	707	104	
<i>ELF</i>	A2	6,421,571	07/16/2002	Spriggs et al.	700	17	02/29/2000

FOREIGN PATENT DOCUMENTS							
*Examiner Initials		Document Number	Publication Date	Country	Class	Subclass	Translation
							Yes No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		

Examiner <i>ELF</i>	Date Considered 10/16/03
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>	

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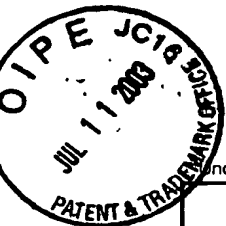
Complete if Known

Sheet	1	of	3	Application Number	10/085,439
				Filing Date	February 28, 2002
				First Named Inventor	Evren Eryurek
				Art Unit	2182
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	30203/37167A

Examiner Initials*	Cite No. ¹	Document Number	Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number-Kind Code ² (if known)		
ELF	1	4,527,271	07-02-1985	Hallee et al.
	2	4,607,325	08-19-1986	Horn
	3	4,657,179	04-14-1987	Aggers et al.
	4	4,734,873	03-29-1988	Malloy et al.
	5	4,763,243	08-09-1988	Barlow et al.
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	7	4,885,694	12-05-1989	Pray et al.
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	17	5,043,863	08-27-1991	Bristol et al.
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**INFORMATION DISCLOSURE
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Application Number	10/085,439
Filing Date	February 28, 2002
First Named Inventor	Evren Eryurek
Art Unit	2182
Examiner Name	Not Yet Assigned
Attorney Docket Number	30203/37167A

Sheet 2 of 3

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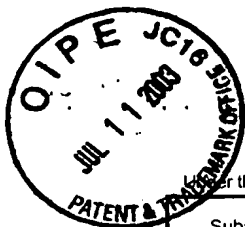
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				Application Number	10/085,439
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				First Named Inventor	Evren Eryurek
				Art Unit	2182
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	30203/37167A

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100	5,960,441	09-28-1999	Bland et al.	
101	5,984,502	11-16-1999	Calder	
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¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
ELF	120	"GE PREDICTOR™ Services: GE PREDICTOR Services Overview," GE Industrial Systems, available at http://www.geindustrial.com/cwc/services?id=71 on 07/18/2002	
ELF	121	"Electrical Equipment Coverage," GE Industrial Systems, available at http://www.geindustrial.com/cwc/services?id=72 on 07/18/2002	
ELF	122	"Customer Impact," GE Industrial Systems, available at http://www.geindustrial.com/cwc/services?id=73 on 07/18/2002	
ELF	123	"Components of GE PREDICTOR™ Services," GE Industrial Systems, available at http://www.geindustrial.com/cwc/services?id=74 on 07/18/2002	
ELF	124	"Customer Benefits," GE Industrial Systems, available at http://www.geindustrial.com/cwc/services?id=75 on 07/18/2002	

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